



SECTOR \$B  
PATENT  
8733/PD-6836

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DUCK-KYUN CHOI

Serial No: 09/170,625

Filed: OCTOBER 13, 1998

For: METHOD FOR FABRICATING  
A THIN FILM TRANSISTOR

Art Unit: 2813

Examiner:

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION**

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington D.C. 20231, on

December 18, 1998

Date of Deposit

Jonathan y. Kang, RN 38,199

Name

*J. Kang*

Signature

12/18/98

Date

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated November 3, 1998, a response to which is due January 3, 1998, enclosed are the following:

- ☒ A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- ☒ A "Declaration" executed by the inventor(s).
- ☐ An executed "Power of Attorney by Assignee".
- ☐ An executed "Verified Statement Claiming Small Entity Status".
- ☐ A "Petition for Extension of Time" for month(s) and a check for the required fee in the amount of \$ .
- ☒ A check in the amount of \$760 in payment of the filing fee (\$760), additional claims (\$72 (4- dependent) and surcharge (\$130).

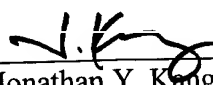
The Commissioner is authorized to charge any additional amounts due in connection with this matter to our Deposit Account No. 12-1820. (A copy of this letter is enclosed).

Respectfully submitted,

LOEB & LOEB LLP

Date: December 18, 1998

By: \_\_\_\_\_

  
Jonathan Y. Kang

Registration No. 38,199

Attorney for Applicant(s)

10100 Santa Monica Blvd., 22nd Floor  
Los Angeles, California 90067-4164  
Telephone: 310-282-2000  
Facsimile: 310-282-2192



UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/170,625	10/13/98 CHOI		D 8733D-6836

LOEB & LOEB  
 10100 SANTA MONICA BLVD SUITE 2200  
 LOS ANGELES CA 90067-4164

NOT ASSIGNED

2813

DATE MAILED: 11/03/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1,008.00

- ☒ 1. The statutory basic filing fee is:
  - ☒ missing.
  - ☒ insufficient.
  - Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. Additional claim fees of \$ 88.00, including any multiple dependent claim fees, are required.
  - \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
  - \$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.
  - \$ \_\_\_\_\_ for multiple dependent claim surcharge.
  - Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
  - ☒ is missing or unexecuted.
  - ☒ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
 See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*[Signature]*  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

31/1998 ZABDALLA 00000005 121820 0917085  
 130.00 OP  
 88.00 OP  
 744.00 OP  
 46.00 CH